

Noether Normalization: Given a variety  $\emptyset \neq X \subseteq \mathbb{A}^n$ ,  $\dim A(X) = m$ ,

$$\exists K[x_1, \dots, x_m] \overset{\text{module-finite}}{\hookrightarrow} A(X) \Rightarrow \text{morphism } P: X \rightarrow \mathbb{A}^m,$$

$$\text{let } \underline{a} = (a_1, \dots, a_m) \in \mathbb{A}^m, I(\underline{a}) = (x_1 - a_1, \dots, x_m - a_m) \in \text{Icox}(K[x_1, \dots, x_m]).$$

For  $\underline{b} \in X$  let  $\bar{I}(\underline{b}) \in \text{Icox}(A(X))$  be the corresponding ideal.

$$\Rightarrow P^{-1}(\{\underline{a}\}) = \{\underline{b} \in X : \bar{I}(\underline{b}) \cap K[x_1, \dots, x_m] = I(\underline{a})\} \quad (*)$$

(C7.6, T7.10, needs some thought!)

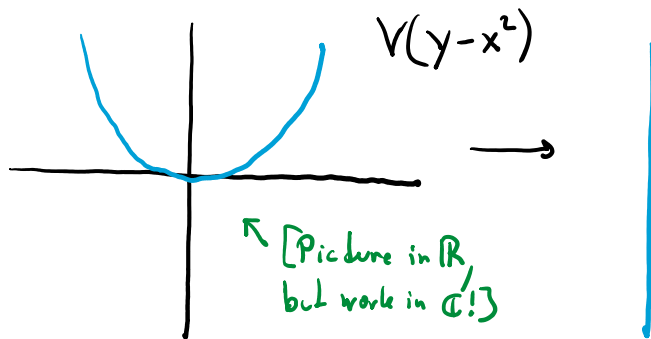
So: (1) If  $K$  alg. closed  $\rightarrow P$  surjective (LYING OVER)

(2) P has finite fibers:

$$K[x_1, \dots, x_m] / I(\underline{a}) \hookrightarrow A(X) / I(\underline{a})A(X) \text{ is integral}$$

$\Rightarrow \dim(A(X) / I(\underline{a})A(X)) = 0$ . Since  $A(X) / I(\underline{a})A(X)$  is also noetherian, it is artinian (T4.14), hence has fin. many max. ideals (P4.12). By (\*) the fibers are finite.

Exm:



$$F: \mathbb{A}^2 \rightarrow \mathbb{A}^1$$

$$(a, b) \mapsto b$$

$$F^{-1}(\{b\}) = \begin{cases} \pm\sqrt{b} & \text{if } b \neq 0 \\ 0 & \text{if } b = 0 \end{cases}$$

generically 2:1.

$$\mathbb{C}[y] \hookrightarrow \mathbb{C}[x, y] / (y - x^2) \text{ is integral}$$

$$\begin{aligned} \underline{b \neq 0}: \mathbb{C}[y] / (y - b) &\hookrightarrow \mathbb{C}[x, y] / (y - b, y - x^2) = \mathbb{C}[x] / (x^2 - b) \\ &= \mathbb{C}[x] / (x + \sqrt{b})(x - \sqrt{b}) \cong_{\text{CRT}} \mathbb{C}[x] / (x + \sqrt{b}) \times \mathbb{C}[x] / (x - \sqrt{b}) \cong \mathbb{C} \times \mathbb{C}. \end{aligned}$$

$$\underline{b = 0}: \mathbb{C}[y] / (y) \hookrightarrow \mathbb{C}[x, y] / (y, y - x^2) = \mathbb{C}[x] / (x^2)$$

Localization:  $X \subseteq \mathbb{A}^n$  irred variety  $\Rightarrow A(X)$  domain w. quotient field  $\mathbb{Q}$   
rational functions on X

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$$Q = \left\{ \frac{f}{g} : f, g \in A(X), g \neq 0 \right\} \leftarrow \text{rational functions on } X$$

$f: X \rightarrow K$  (given by a polynomial in the coordinates, -continuous).

$$\text{Let } \underline{a} = (a_1, \dots, a_n) \in X, \quad M = (\bar{x}_1 - a_1, \dots, \bar{x}_n - a_n) \in \text{Max}(A(X))$$

Note:  $f \in M \Leftrightarrow f(a_1, \dots, a_n) = 0$  [easy exc, c.f. proof of T7.2]

$$\Rightarrow A_M = \left\{ \frac{f}{g} : f, g \in A(X), g(a_1, \dots, a_n) \neq 0 \right\}$$

So  $A_M$  consists of those rational functions that are "well-defined" at  $\underline{a}$ , i.e., we can evaluate  $\frac{f(\underline{a})}{g(\underline{a})}$ . Since  $g$  is continuous (in Zariski-topology),

$g^{-1}(\{0\})$  is closed, so  $X \setminus g^{-1}(\{0\})$  is open and  $\frac{f}{g}$  even induces a function on an open nbhd of  $\underline{a}$ .

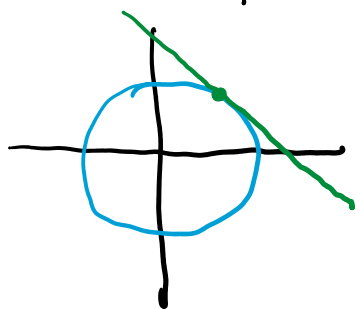
$M_A = \left\{ \frac{f}{g} : g(\underline{a}) \neq 0, f(\underline{a}) = 0 \right\}$  is the max. ideal of the local ring  $A_M$ .

### 7.3 Zariski (Co)tangent Space, Regularity

$K$  field

Goal: Define tangent spaces of varieties algebraically

(inspired by real analytic notions)



$$R := K[x_1, \dots, x_n] \quad (n \geq 0).$$

Def: For  $1 \leq i \leq n$ , the **formal derivative**  $\partial_{x_i}: R \rightarrow R$  is the unique  $K$ -linear map s.t.

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$$\partial_{x_i}(x_j^n) = \begin{cases} n x_i^{n-1} & \text{if } n \geq 1, j=i \\ 0 & \text{if } j \neq i \text{ or } n=0 \end{cases}$$

Each  $\partial = \partial_{x_i}$  is a **derivation**:

-  $K$ -linear:  $\partial(a f + b g) = a \partial(f) + b \partial(g) \quad \forall a, b \in K \quad \forall f, g \in R$

- Leibniz rule:  $\partial(f g) = \partial(f) g + f \partial(g) \quad \forall f, g \in R$

Let  $\underline{a} = (a_1, \dots, a_n) \in A^n, \underline{a} \in V(f)$

If  $R \ni f = \sum_{i_1, \dots, i_n \geq 0} c_{i_1, \dots, i_n} (x_1 - a_1)^{i_1} \dots (x_n - a_n)^{i_n}$  (\*) (finite sum),

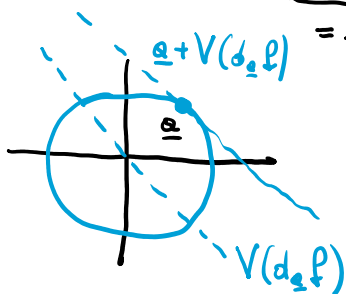
Then  $\partial_{x_i}(f)(\underline{a}) = c_{0, \dots, 0, \underset{\substack{\uparrow \\ \text{place } i.}}{1}, 0, \dots, 0}$ .

Think of  $\sum_{i=1}^n \partial_{x_i}(f)(\underline{a})(x_i - a_i)$  as best <sup>offline</sup> linear approx. of  $V(f)$  at  $\underline{a}$ .  
(offline space)

$d_{\underline{a}} f := \sum_{i=1}^n \partial_{x_i}(f)(\underline{a}) x_i$  linear polynomial

$\Rightarrow \underline{a} + V(d_{\underline{a}} f)$  is the <sup>offline</sup> linear approx of  $f$  at  $\underline{a}$

Exm:  $X = V(x^2 + y^2 - 1) \subseteq A^2(\mathbb{R}) \quad \partial_x f = 2x, \quad \partial_y f = 2y$



$\underline{a} = \left(\frac{1}{\sqrt{2}}, \frac{1}{\sqrt{2}}\right), \quad d_{\underline{a}} f = \frac{2}{\sqrt{2}} x + \frac{2}{\sqrt{2}} y$

Def: Let  $X \subseteq A^n$  be a variety,  $\underline{a} \in X$ . The **tangent space**  $T_{\underline{a}} X$  of  $X$  at  $\underline{a}$  is  $V(\{d_{\underline{a}} f : f \in I(X)\})$ .

Note: Since each  $d_{\underline{a}} f$  is linear, this is a linear space.

Lemma 7.11: (1) If  $I(X) = (f_1, \dots, f_r)$ . Then  $T_{\underline{a}} X = V(d_{\underline{a}} f_1, \dots, d_{\underline{a}} f_r)$

1992. Since each  $U_{\alpha}$  is convex,  $\mathbb{A}^n$  is a linear space.

Lemma 7.11: (1) If  $I(X) = (f_1, \dots, f_r)$ , then  $T_{\underline{a}} X = V(d_{\underline{a}} f_1, \dots, d_{\underline{a}} f_r)$

(2) If  $J_{f_1, \dots, f_r}(\underline{a}) := \left( \partial_{x_j} f_i(\underline{a}) \right)_{\substack{i=1, \dots, r \\ j=1, \dots, n}} \in K^{r \times n}$  is the Jacobian

then  $T_{\underline{a}} X = \text{Ker}(J_{f_1, \dots, f_r}(\underline{a}))$

Proof: (1) " $\supseteq$ " " $\supseteq$ "

$$f(\underline{a}) = 0$$

Observe:  $d_{\underline{a}}(fg) = d_{\underline{a}}(f)g(\underline{a}) + f(\underline{a})d_{\underline{a}}(g) = g(\underline{a})d_{\underline{a}}f \quad \forall g \in K[x_1, \dots, x_n]$

$$\begin{aligned} [d_{\underline{a}}(fg)] &= \sum_{i=1}^n \partial_{x_i}(fg)(\underline{a}) x_i = \sum_{i=1}^n (\partial_{x_i}(f)g + f\partial_{x_i}(g))(\underline{a}) \cdot x_i \\ &= \sum_{i=1}^n \left[ \underbrace{\partial_{x_i}(f)(\underline{a})}_{\text{constant}} g(\underline{a}) + f(\underline{a}) \underbrace{\partial_{x_i}(g)(\underline{a})}_{\text{constant}} \right] x_i = d_{\underline{a}}(f)g(\underline{a}) + f(\underline{a})d_{\underline{a}}(g) \end{aligned}$$

Let  $f \in I(X) \rightarrow f = f_1 g_1 + \dots + f_r g_r$  with  $g_i \in K[x_1, \dots, x_n]$

$\Rightarrow d_{\underline{a}} f = \sum_{i=1}^r g_i(\underline{a}) d_{\underline{a}} f_i$  vanishes on  $V(d_{\underline{a}} f_1, \dots, d_{\underline{a}} f_r)$ .

(2)  $\forall \underline{b} = (b_1, \dots, b_n) \in \mathbb{A}^n: d_{\underline{a}} f_i(\underline{b}) = 0 \Leftrightarrow \sum_{j=1}^n (\partial_{x_j} f_i)(\underline{a}) \cdot b_j = 0.$

Prop 7.12  $K$  field,  $X \subseteq \mathbb{A}^n(K)$  variety,  $\underline{a} = (a_1, \dots, a_n) \in X$ ,  $R = K[x_1, \dots, x_n]$

Let  $M = (\bar{x}_1 - a_1, \dots, \bar{x}_n - a_n) \in \text{Max}(A(X))$ . Then

$$T_{\underline{a}}^* X := (T_{\underline{a}} X)^* := \text{Hom}_K(T_{\underline{a}} X, K) \cong M/M^2$$

$\uparrow$  cotangent space / space of differentials

Proof: Let  $K[x_1, \dots, x_n]/I(X) = A(X)$ ,  $f \mapsto \bar{f}$ ,  $R = K[x_1, \dots, x_n]$

Define  $\psi: M \rightarrow \text{Hom}_K(T_{\underline{a}} X, K)$ ,  $\bar{f} \mapsto d_{\underline{a}} f|_{T_{\underline{a}} X} = \left( \sum_{i=1}^n \partial_{x_i}(f)(\underline{a}) \cdot x_i \right) \Big|_{T_{\underline{a}} X}$

Well-defined: If  $g \in I(X)$ ,  $d_{\underline{a}} g|_{T_{\underline{a}} X} = 0$ .

$\psi$   $K$ -linear  $\checkmark$

$\psi$  surjective: Let  $\ell \in \text{Hom}_K(T_{\underline{a}} X, K)$ . Extend  $\ell$  linearly to  $\mathbb{A}^n = K^n$ .

$$\Rightarrow \ell = \sum_{i=1}^n \lambda_i x_i \text{ for some } \lambda_i \in K.$$

$\Rightarrow l = \sum_{i=1}^n \lambda_i x_i$  for some  $\lambda_i \in K$ .

Take  $f = \sum_{i=1}^n \lambda_i (x_i - a_i) \Rightarrow \bar{f} \in M, d_a f = l$ .

Ker  $\psi = M^2$ : " $\supseteq$ "  $M^2 = \langle \bar{f}\bar{g} : f, g \in R \text{ s.t. } \bar{f}, \bar{g} \in M \rangle$

$$\Rightarrow \psi(\bar{f}\bar{g}) = d_a(fg)|_{T_a X} = \left[ d_a(f) \underbrace{g(a)}_0 + \underbrace{f(a)}_0 d_a(g) \right] |_{T_a X} = 0.$$

$\Rightarrow M^2 \subseteq \text{Ker}(\psi)$ .

" $\subseteq$ ":  $L := \{d_a g : g \in I(X)\}$  is a subspace of  ${}_K \langle x_1, \dots, x_n \rangle$

Let  $r := \dim_K L \leq n$ .  $\Rightarrow T_a X = \{ \underline{b} \in A^n : \forall \varphi \in L: \varphi(\underline{b}) = 0 \}$  has

dimension  $n - r \Rightarrow L' := \{ \varphi \in \langle x_1, \dots, x_n \rangle : \forall \underline{b} \in T_a X, \varphi(\underline{b}) = 0 \}$  has

dimension  $r$ . So  $L \subseteq L' \Rightarrow L' = L$ .

Let  $f \in R$  be s.t.  $\bar{f} \in \text{Ker}(\psi) \in M$ . So  $d_a f|_{T_a X} = 0 \Rightarrow$

$d_a f \in L' = L \Rightarrow \exists g \in I(X): d_a f = d_a g \Rightarrow d_a(f - g) = 0$ .

$\Rightarrow \partial_{x_i}(f - g)(a) = 0 \quad \forall i = 1, \dots, n, \quad (f - g)(a) = 0$

$\Rightarrow f - g = \sum_{\substack{i_1, \dots, i_n \geq 0 \\ i_1 + \dots + i_n \geq 2}} c_{i_1, \dots, i_n} (x_1 - a_1)^{i_1} \dots (x_n - a_n)^{i_n} \in (x_1 - a_1, \dots, x_n - a_n)^2$ .

$\Rightarrow \bar{f} - \bar{g} \in M^2$  and  $\bar{g} = 0$ , so  $\bar{f} \in M^2$ . □

Remark:  $R$  ring,  $M \in \text{Max}(R)$ ,  $S := R \setminus M \Rightarrow M/M^2$  is  $R/M$ -vector space

$$\Rightarrow M/M^2 \cong \bar{S}^{-1}(M/M^2) = \bar{S}^{-1}M / \bar{S}^{-1}M^2 = MR_M / M^2 R_M.$$

Def: Let  $(R, M)$  be a local ring. The **cotangent space** of

$R$  is  $M/M^2$ . Its dual space over  $K = R/M$  is the **tangent**

**space** of  $R$ :  $\text{Hom}_K(M/M^2, K)$ .

Lemma 7.13 Let  $(R, M)$  be a noetherian local ring,  $K = R/M$

Then  $\dim_K M/M^2$  is the minimum number of generators for  $M$ .

Proof: Let  $r := \dim_K M/M^2$ . If  $M = (a \ a_2)$  then

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Proof: Let  $r := \dim_K M/M^2$ . If  $M = (a_1, \dots, a_n)$ , then

$$M/M^2 = \langle \bar{a}_1, \dots, \bar{a}_n \rangle, \text{ so } n \geq r.$$

Let  $b_1, \dots, b_r \in M$  s.t.  $\bar{b}_1, \dots, \bar{b}_r$  is a  $K$ -basis of  $M/M^2$ .

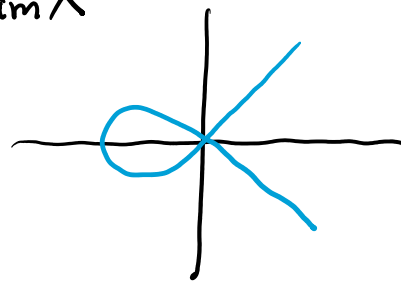
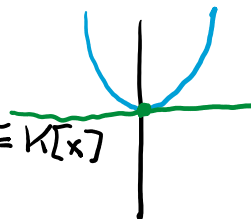
$$\Rightarrow M = (b_1, \dots, b_r) + M \cdot M \xrightarrow{\text{Nakayama}} M = (b_1, \dots, b_r). \quad \square$$

Exm:  $K = \mathbb{R}$ ,  $X = V(y - x^2) \in \mathbb{A}^2$ ,  $A = A(X) =$

$$\Rightarrow T_0 X = V(y)$$

$$K[x, y]/(y - x^2) \cong K[x]$$

$$(x, y)A = xA, \quad \dim_{\mathbb{R}} \left( \frac{x^i A}{x^j A} \right) = 1 = \dim X$$



$$X' := V(y^2 - x(x + x^2))$$

$$y^2 - x^2 - x^3$$

$$T_0 X = V(0) = \mathbb{A}^2$$

$$\dim_{\mathbb{R}} \frac{(x, y)A_{(x, y)}}{(x, y)^2 A_{(x, y)}} = 2 > \dim(X') = 1.$$